

Application Form for DIYSIP

To,
HDFC Securities Limited,
Central Operation Team, I Think Techno Campus, Building – B, “Alpha”,
Office Floor 8, Kanjurmarg (East), Mumbai 400 042.

Missed Call Ref. No.:

Dear Sir,
Ref. Trading Account Number

I hereby authorize you to buy the following scrip(s) as per the limits / time schedule under DIYSIP scheme.

| | | | | | | | | | |
|---|----------------------|--|--|--|--|--|--|--|--|
| SIP Ref No. | For office use only. | | | | | | | | |
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| | | | | | | | | | |
| LG Code : _____ LC Code : _____ Channel : _____ | | | | | | | | | |

| NIFTY Series | | | | | |
|--------------------------------------|-------------|------------------------------------|-------------|-------------------------------|-------------|
| SCRIP Name | Value (Rs.) | SCRIP Name | Value (Rs.) | SCRIP Name | Value (Rs.) |
| ABB Ltd. | | ICICI Bank Ltd. | | HCL Technologies Ltd. | |
| Mahindra & Mahindra Ltd. | | Tata Consultancy Services Ltd. | | Reliance Power Ltd. | |
| Axis Bank Ltd. | | IDFC Ltd. | | Hindalco Industries Ltd. | |
| Oil & Natural Gas Corporation Ltd. | | Tata Steel Ltd. | | Steel Authority of India Ltd. | |
| Bharti Airtel Ltd. | | Kotak Mahindra Bank Ltd. | | I T C Ltd. | |
| Ranbaxy Laboratories Ltd. | | Larsen & Toubro Ltd. | | Suzlon Energy Ltd. | |
| DLF Ltd. | | Ambuja Cements Ltd. | | Infosys Technologies Ltd. | |
| Reliance Industries Ltd. | | NTPC Ltd. | | Tata Power Co. Ltd. | |
| HDFC Bank Ltd. | | Bharat Petroleum Corporation Ltd. | | Jindal Steel & Power Ltd. | |
| Siemens Ltd. | | Punjab National Bank | | Wipro Ltd. | |
| Hindustan Unilever Ltd. | | Reliance Communications Ltd. | | Idea Cellular Ltd. | |
| Sterlite Industries (India) Ltd. | | Cipla Ltd. | | Tata Motors Ltd. | |
| ACC Ltd. | | GAIL (India) Ltd. | | Jaiprakash Associates Ltd. | |
| Maruti Suzuki India Ltd. | | Reliance Infrastructure Ltd. | | Unitech Ltd. | |
| Bharat Heavy Electricals Ltd. | | Hero Honda Motors Ltd. | | | |
| Power Grid Corporation of India Ltd. | | State Bank of India | | | |
| Cairn India Ltd. | | HDFC Ltd. | | | |
| Reliance Capital Ltd. | | Sun Pharmaceutical Industries Ltd. | | | |
| Gold ETF | | | | | |
| Benchmark Gold BeES | | HDFC Gold ETF | | MOSSt Gold ETF | |
| Index ETF | | | | | |
| Nifty BeES | | Bank BeES | | | |
| Other Scrips | | | | | |
| Scrip 1: | | Scrip 2: | | Scrip 3: | |
| Scrip 4: | | Scrip 5: | | Scrip 6: | |

| | |
|--|---------------|
| Please Tick any one: Frequency : <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly Days <input type="checkbox"/> Monthly Days | Trigger Date: |
| Tenure : <input type="checkbox"/> - 24 Months <input type="checkbox"/> - 36 Months <input type="checkbox"/> - 48 Months <input type="checkbox"/> - 60 Months | |

Declaration

I am fully aware that

- The HDFC Securities DIYSIP is a service that does not constitute an offer to sell or a solicitation to any person in any jurisdiction.
- The scheme is not a PMS or mutual fund and all transaction happen with my consent only.
- In order to facilitate the execution of SIP transaction, I would be required to keep clear balances in my linked HDFC Bank account.
- The charges incurred are signed up / administrative charges exclusive of applicable taxes..

I have understood the terms and conditions attached herewith and agreed to the same.

Name: _____

Place: _____ Date: _____

Trigger Date:

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Terms And Conditions

1. Product terms and conditions:

- DIYSIP would be set up in HSL only after receipt of sign up charges. Client's scheme tenure would be considered from the date of sign up charges receipt.
- Subsequently, DIYSIP will be triggered in an automated mode as per the DIYSIP set up details basis the consent received at the time of first order entry and time period as prescribed.
- In case of special trading sessions or an extended trading session when specific scrips are allowed to trade (example - special trading session on Akshay Tritiya when only GOLD ETFs are allowed trade), such dates will be classified as DIYSIP holiday dates. No DIYSIP transaction will be carried out. In case your DIYSIP trigger date is falling on that day, the same would be triggered on next business day.
- Trade execution is subjected to availability of fund in your linked default HDFC Bank account and successful lien marking as well as receipt of the same on trigger date. In case of systemic inability to lien mark funds due to any reason / system failure etc, DIYSIP transaction would not be carried out.
- For trigger of DIYSIP, you should have the DIYSIP amount (Calculated with the previous closing price of the scrips on the previous trading day) plus upto 5% of the DIYSIP amount as free balance in his HDFC Securities linked Bank account and successful lien on the same.
- Number of scrip may be selected in single DIYSIP application is 10.
- In case of any regulatory reason, if your trading account is deactivated, then the DIYSIP transaction will not be executed.
- DIYSIP will be triggered on the date as specified by the customer. In case of Holiday, the same will be triggered on next business day.
- HDFC Securities Limited will only trigger buy leg based on the instructions received from the Customer. Selling will be at the sole discretion of the customer.
- Excess funds remaining after DIYSIP transaction will be released at the End of Day only. Securities bought under this scheme will be added in the limits on the day on which it has been bought. This is not applicable for NRI category clients as per NRI trading regulation.
- In case of insufficiency of funds, your DIYSIP order will not be executed. There won't be any partial execution of orders.
- The Value based DIYSIP – The number of units bought every month may vary depending upon the prevailing market price of the scrip. The number of units to be bought on transaction day will be derived by rounding of the units to the lower side.
- Upper Cap – If DIYSIP amount exceeds the capped amount specified by the client, then the DIYSIP will not be triggered.
- On trigger date customer, If selected scrips reach upper circuit, the order will be kept live till market ends. In this case the order may or may not get successfully executed depending upon the market.
- Securities investments are subject to market risks and there can be no assurance or guarantee that the objectives will be achieved. Each client is advised to consult his/her own financial advisor/professional tax advisors before availing of scheme.
- The Company may discontinue the scheme completely or partially by giving 15 days notice to the customer.
- All other exchange conditions viz. Shortages, Auction etc. will be applicable.
- Client would be provided the Contract Notes and other communication as per the normal practice basis (physical or electronic mode as consented by the client).
- Corporate actions like face value split etc and subsequent change in the DIYSIP quantity, will not be handled in the DIYSIP. The client needs to intimate the customer care team for any revision in quantity OR may change the same by using login and password on online platform.
- Corporate Black out period (No transaction period in the scrip) will not be handled in the DIYSIP. This is applicable in respect of employees who have set up DIYSIP in the company they work in.
- The terms and conditions of the scheme will always be read and construed in conjunction with the provisions of the client member agreement entered into between the Company and the customer / Rights and Obligations as confirmed by the client.

2. Brokerage / Fee Structure and order execution

- Normal brokerage as per the client category will be charged on the scrip's accumulated through the scheme.
- There is a one-time, non refundable, Sign up/Administrative charge of Rs. 249/- (exclusive of taxes as applicable from time to time)

- The Company reserves the right to modify the amount of brokerage, one time sign up charges from time to time by displayed the same on the Company's website www.hdfcsec.com and the customer agrees to keep himself updated in respect thereof.
- DIYSIP orders will be executed in Exchange during market hours at market rate on best efforts basis.
- Limits will be updated for the successfully executed transactions. This is subject to all other market conditions viz. Trade-to-trade guidelines, NRI order execution etc remaining same.
- The min of brokerage of Rs 25 plus taxes will be applicable to each scrip wise transaction. Minimum brokerage charge is again subject to maximum permissible limit of 2.5% of total contract value.

3. Renewal/Redemption

In the DIYSIP, your orders would be executed as per the terms/ periodicity as accepted. I am aware that the selling of shares accumulated through the scheme will be at my own discretion.

4. Cancellation / Modification / Pause

- Clients can cancel / modify / pause DIYSIP on-line using login and password.
- Also, cancel / modify / pause instruction can be given by calling Customer care dept, authenticating and placing the request.
- Client will have to inform HSL customer care to remove the pause and restart the DIYSIP.
- On successful processing of request for modification / pause/ cancel the Client will be intimated via email.
- All such requests are free of cost.

5. General

- I am aware that the said investments decisions in investing in DIYSIP is with due consent. I am the owner / user of the said user id.
- The investments discussed or recommended in reports / calls and on website of HDFC Securities Ltd. may not be suitable for all investors. Investors must make their own investment decisions based on their specific investment objectives and financial position and using such independent advisors, as they believe necessary. For more details / disclaimers refer to our website www.hdfcsec.com
- HDFC Securities Ltd. reserves the right at anytime, with prior notice as per Regulations to add, alter, modify, change or vary all or any of the terms and conditions or to replace wholly or in part, the above offers by another offers, whether similar to above offers or not, or to withdraw it together.
- The clients who are participants of the scheme will not hold HDFC Securities Ltd. responsible for, liable for, any actions, claims, demands, losses, damages, costs, charges and expenses which they may suffer, sustain or incur by way of above offer.

Specific to NRI clients

- All the rules, terms and conditions applicable for NRI transaction will be applicable for DIYSIP execution.
- NRI DIYSIP Order execution will be subject to screening against the BAN scrip (as specified by RBI or any other Regulatory entity) list as of trigger date. At the time of DIYSIP enrollment, scrip may be NOT be included in ban list and becomes a part of ban list later. In such event, such scrip will not be bought on trigger date.
- Post successful execution of DIYSIP transaction, the NRI client will not be given limits.
- For further information, please contact our customer care desk on +91 22 2834 6685 or send us an e-mail at nrihelp@hdfcsec.com
- DIYSIP will be set up in the trading account used for registering online module. (NRE / NRO as applicable).

For any further queries or request processing, please contact customercare@hdfcsec.com OR call on 0 + local area code + 39019400.

For detailed disclaimer refer www.hdfcsec.com

FOR OFFICE USE ONLY (All fields are mandatory)

| | | | | |
|--|---------------|----------------------|---|--------------------|
| Calling Done: <input type="checkbox"/> Yes <input type="checkbox"/> No | | Date of Confirmation | <div style="border: 1px solid black; padding: 2px; display: inline-block;">D</div> <div style="border: 1px solid black; padding: 2px; display: inline-block;">D</div> <div style="border: 1px solid black; padding: 2px; display: inline-block;">M</div> <div style="border: 1px solid black; padding: 2px; display: inline-block;">M</div> <div style="border: 1px solid black; padding: 2px; display: inline-block;">Y</div> <div style="border: 1px solid black; padding: 2px; display: inline-block;">Y</div> <div style="border: 1px solid black; padding: 2px; display: inline-block;">Y</div> <div style="border: 1px solid black; padding: 2px; display: inline-block;">Y</div> | VRS file ref. no.: |
| RM Name: | RM Emp. Code: | | RM Signature | |
| BM Name: | BM Emp. Code: | | | |
| | | | BM Signature | |