

## **Easy Funds**

- Same day payouts with Encash feature
- Margin Trading Facility Upto 4x margin for 180 days



# **Extensive Products Range**

- Equity, Derivatives, Commodity, Currency, Mutual Funds
- ► IPO, NCD, ETF, SGB, etc.
- Stock Lending & Borrowing Mechanism (SLBM)



# **INVESTMENT ACCOUNT**

A one stop shop for all your investment requirements

### **Innovative Investment Solutions**

Global Investing
Direct investment
in US Stocks & ETFs

Model Portfolios
Build a Strong
Portfolio

Thematic Portfolios Invest in Ideas DigiGold
The Right Way
to Invest in Gold



## Convenience

- ➤ Multiple Trading Platforms
- Award Winning Advisory Team
- ▶ Dedicated Relationship Manager with Call & Trade Facility
- ➤ Advanced Portfolio Tracker with Up-to-date corporate actions

# TRADING & DEMAT ACCOUNT OPENING FORM

BAR CODE NUMBER

Application Form (Please tick relevant boxes):	2 in 1 form (Trading + DP)	1 in 1 form (only Trading)	Standalone DP
LG Code LC Code		Source Code	

Branch Inward Stamp & Authorised Signatory with Stamp

CPU Inward Stamp & Authorised Signatory with Stamp

### INDEX

Name of the document	Brief Significance of the document	Section	Page No.
MANDATORY DOCUMENTS A	S PRESCRIBED BY SEBI & EXCHANGES		
	A. KYC form - Document captures the basic information about the constituent and an instruction/check list.	PART A	3
Account Opening Form	B. Document captures the additional information about the constituent relevant to trading account and an instruction/check list. (PART II)	PART A	7
	C. Additional details required for operating DP account	PART A	5
Rights and Obligations (Trading)	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading).	PART B	1
Rights and Obligations (Demat)	Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	PART A	17
Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	PART B	7
Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	PART B	11
Policies and Procedures	Document describing significant policies and procedures of the stockbroker	PART B	14
Tariff sheet (Trading)	Document detailing the rate/amount of brokerage and other charges\levied on the client for trading on the stock exchange(s).	PART B	19
Tariff Sheet (Demat)	Document detailing the standard demat charges and Basic Services Demat a/c (BSDA) charges(if opted)	PART A	6 & 18
VOLUNTARY DOCUMENTS A	S PROVIDED BY THE STOCK BROKER		
DDPI + Bank Mandate+ Master Mandate	Document required for linkage of Bank and demat account for experience of seamless trading in securities / order placement	PART A	11
Additional Non mandatory Rights & Obligations	Confirmation of Additional Rights and Obligations for seamless trading experience	PART A	2
Running Account Authorisation	Authorisation from client on maintenance of the funds / securities balances on running basis	PART A	2
Other Consent	Consent for Margin Trading facility and to receive commercial call and/or sms	PART A	2

Registered Office Address: I Think Techno Campus, Building - B, "Alpha", Office Floor 8, Kanjurmarg (East), Mumbai - 400042. Ph.: (022) 3075 3400 Fax: (022) 3075 3435 Website: www.hdfcsec.com (CIN - U67120MH2000PLC152193)

**Correspondence Office Address:** Trade Globe, 2nd Floor, Kondivita, Andheri-Kurla Road, Andheri East. Mumbai-400059.

Ph.: (022) 3901 9400 Fax: (022) 2834 6690.

Compliance Officer Name, Phone No. & Email ID: Mr. Murli V Karkera, (022) 3045 3600, complianceofficer@hdfcsec.com

CEO/M.D. Name, Phone No. & Email ID: Mr. Dhiraj Relli, (022) 6741 9951, md@hdfcsec.com

SEBI Registration No.	INZ000186937 (NSE, BSE, MSEI, MCX)	ı
NSE Trading Member Code	11094	9
BSE Clearing No.	393	(
MSEI Trading Member Code	30000	(
MCX Member Code	56015	6
AMFI Reg No.	ARN -13549	3
PFRDA Reg. No.	POP 11092018	
IRDA Corporate Agent Licence No.	CA0062	ı
Research Analyst Reg. No.	INH000002475	(
Investment Adviser	INA000011538	j
CIN	U67120MH2000PLC152193	a
NSDL DP ID	IN304279	2
CDSL DP ID	12086700	
SEBI DP Registration No.	IN-DP-372-2018	

For any grievance/dispute please contact HDFC Securities Ltd. at the above address or email idcustomercare@hdfcsec.com and Phone no.: (0+local area code + 3901 9400) and for escalation you may write to us at services@hdfcsec.com.

In case not satisfied with the response, please contact concerned exchanges BSE at is@bseindia.com and Phone no. 022 2272 8097 and NSE at ignse@nse.co.in and Phone No. 022 2659 8190

HDFC Securities is registered as a trading cum clearing member in all Exchanges

### **RUNNING ACCOUNT AUTHORISATION**

I confirm that my account may be maintained on a running account basis, whereby instead of paying any amounts or securities (lying in Client Collateral Account / Collateral Account) representing mark to market profits, you shall retain, withhold, set-off and / or appropriate the same for such purposes and in such manner as you deem fit and release the funds and / or securities (lying in Client Collateral Account / Collateral Account) due to me, on my specific request, either written or oral. I am aware that I have the right to amend or revoke this authorisation, at any time, by way of an amendment / revocation letter.

I confirm that you may settle the account once every calendar

Quarterly or Monthly

**S1** 

Signature of Client

### **OTHER CONSENT**

As a subscriber to the services offered by HDFC securities Ltd. I hereby instruct HDFC securities Ltd. to provide the following communications through the medium of short messages services and/or telephone calls/or Whatsapp on my registered phone number(s):

 (a) Research and investment ideas whether developed in-house and/or by outsourced agencies;
 (b) Market related alerts;
 (c) Offers or subscription to new products/services from time to time;
 (d) Account and trading related information and other notifications pursuant to the services provided under the captioned agreement;
 (e) Information relating to investment products and services;
 (f) Any other service to aide in wealth creation process.

(Do not sign if you not wish to receive commercial calls and / or messages)

**S2** 

Signature of Client

### **CONSENT FOR MARGIN TRADING FACILITY (MTF)**

I/we wish to avail the Margin Trading Facility (MTF). I/We confirm that I/we have received, read and understood, acknowledged and agreed to the Margin Trading Facility (MTF) Rights and Obligations as provided by HDFC Securities in PART C of the account opening document.

**S**3

Signature of Client

SCHEDULE OF CH	HARGES (TRADING)
I/We have read and understood the features of the schen	eme and agreed to the below
A/C opening scheme	Charges
Payment Mode Cheque no.	Bank A/C No.
Bank Name	Date
Direct debit from my link HDFC Bank	nk account
None	S4 X Signature of Client



### **CUSTOMER INFORMATION FORM For Individuals Only**

	"Fields marked in Red are	e mandatory." "F	orm to be filled		etters only	." "Strike o	off whichev	ver is not a	pplicabl	e." "Fill this form	in English.'						
	Office use only App	olication Type	New	Update	A/c Type	✓ Nor	mal	Small	Simpl	lified (for low risk	customers	)					
	Prefix	Mr.	Ms.	Others					OLE NAME				OUDMANE				
	Applicant Name (Same as per ID Proof)		FIRST	VAIVIE				MIDL	DLE NAMI				SURNAME				
	Gender	Male	Female	Transgend	ler	Marita	al Status	Single		Married						re acros	35
	Residential Status	Resident Ir	ndividual	NRI	Foreign	National								<b>\$5</b> <sup>Si</sup>	ignatu phot	iograph	
ails	Nationality	Indian	Other, plea	ase specify_													
Personal Details	Date of Birth	D D M N	M Y Y Y	Y									Ap <sub>l</sub> latest	olican		-4	
sona	PAN (Mandatory)													e pho		L	
Per	Proof of identity	PAN	Any other (Please specify)						Expiry	date D D M	M Y	YYY					
			, , , , , ,	FIRST NAME				MIE	DDLE NAM	ИE			SURNAME				
	Mother's Name	Ms.															
	Spouse's Name Mr.	/ Mrs. / Ms.															
	Father's Name	Mr.															
	Proof of Address (Spe	ecify)										Expiry Date	D D M	M Y	Υ	Y	
S	Residence/																
ldres	Correspondence Address																
ng Ac	Landmark (Mandatory)																
Mailir	City						State										
nt / I	District					Country											
Present / Mailing Address	PIN Code			Please	e mentior	n a promir	nent landr	mark to e	nsure th	nat the deliveral	bles reach	you					
_	Address Type	Residence		Reside	ential and	Business	Regis	stered Office	ce	Unspecified							
	Permanent Add Permanent	dress same as Pr	esent Address														
ress														fill	andatory led if dif	fferent	
Add	Landmark (Mandatory)														from ab		
ermanent Address	City						State							N	/landato Ion Res Ilicant to	sident	
	District					Country								ove	erseas a	address	
Δ.	PIN Code			Residin	ng at curre	ent resider	nce since	YY	M M	Please ment			ark to ensure	Э			
	Separate email ID & m	nobile number d	leclaration - I h	nereby decl	lare that t	he below				that the deliv	erables re	ach you					
(0)	Mobile number belongs			Spouse			ent Parent		Depen	dent Children							
etail	(Mandatory)	S T	D	N U M			N/	lobile No.	_	N	U M B	ЕВ					
act D	Tel (O) + +				BER		- E			Fax S T D	- W		B E R				
Contact Details	(0)											IN O M	B E IX				
	Email ID belongs to E-mail ID	Self		Spouse		ререпае	ent Parent		Depen	dent Children							
	Mode of receiving state	ement of accoun	nte & annual r	enorte	Electroni	c Ph	nysical			Share my email	ID with the	RTA Ye	s No				
	Wode of receiving state	chient of accou	nto & annuar r	Срогіз			ANT'S	SIGNATI		Share my email	1D With the	TO	140				
	I hereby declare that the	details furnished i	in this form are t	rue and corr						I understand to in	nform you of	any changes	therein imme	diately. I	n case	e any of	F
	the above information is f Registry / FATCA. I hereb	found to be false of	or untrue or misl	leading or m	nisrepreser	nting. I/We	am /are aw	vare that I/\	We may	be held liable for	it. My perso	nal/KYC detai	ls may be sha	red with	Centr	ral KYC	,
	will be opened as per nam					,				<b>G</b>	,		•				
	(Originals verif	fied) and Self –At	ttested Docume	ent copies re	eceived							Sigr	ature of the	арриса	m		
	In-Person-Verifica					Seal /	Stamp of										
	Name of the Perso	n doing IPV					securities										
	Signature of the Pe	erson doing IPV_								<b>S</b> 6							
	Designation & Emp	o. code								D D M M	V V V	V DIa					
	Branch code					M M				D D M M  Do not sign this				والعداد			
				Nai	me of the o	rganisation	n: HDFC Se	curities I to		DO HOT SIGN THIS	I TI TI MIOI e	DLANK, PI	ase ensure:	ali relev	/ant		

3

Name of the organisation: HDFC Securities Ltd.

Name and Signature of Authorised Signatory\_

Do not sign this form if it is BLANK. Please ensure all relevant sections and columns are completely filled to your satisfaction

and then only sign the form

	*Gross annual income	(₹) (Income range per an	nnum) Below Rs. 1	ac Rs. 1 lac to	5 lacs Rs. 5	lacs to 10 lacs	Rs. 10 lacs to 25 lacs	More than Rs. 25 lacs
'n	OR Net worth (₹)			as on date D D	M M Y Y Y	Y Net worth show	uld not be older than one year	
etalli	Occupation details	Public Sector	Private Sector	Government Service	Employer Name			
er G	(please tick any one below and give brief details)	Business Prof	fessional Agricultu	rist Retired Stu	udent Housewife	Designation		
Š		Others (please specif	fy)					
	Brief Details			Please tick, if applicable	e Politically Expose	ed Person (PEP)	Related to a Politically	Exposed Person (PEP)
	Are you a tax residen	t of any country oth	er than India ?	No Yes, then	please fill below			
	City of Birth				Country of Birth			
	Address for Tax purpo	ose (please tick)	Same as Mailing A	ddress Sam	e as permanent Add	lress		
	Country/(ies) o	f Tax Residency #		Tax Identification No	umber (TIN)%	N	lationality / Citizenship	Identification Type (TIN or Other%, please specify)
	1.							
	2.							
	3.							
	4.							
	5.							
	#To also include USA	where the individual	Lis a citizen /green car	holder of USA	% In case Tax Identif	fication Number is	not available kindly provid	e functional equivalent

	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia	
		If customer does not agree to be Specified U.S. person/reportable person status	
1	U.S. place of birth	<ol> <li>Self-certification (in attached format) that the account holder is neither a citizen of United States of An nor a resident for tax purposes;</li> <li>Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND</li> <li>Any one of the following documents:         <ul> <li>Certified Copy of "Certificate of Loss of Nationality or</li> </ul> </li> <li>Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth</li> </ol>	
2	Residence/mailing address in a country other than India	<ol> <li>Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and</li> <li>Documentary evidence (refer list below)</li> </ol>	:
3	Telephone number in a country other than India (and no telephone number in India provided)	<ol> <li>Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and</li> <li>Documentary evidence (refer list below)</li> </ol>	:
4	Standing instructions to transfer funds to an account maintained in a country other than India	<ol> <li>Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and</li> <li>Documentary evidence (refer list below)</li> </ol>	:

### Certification

I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided/available in the bank/securities records will be used for CBDT reporting. Further, I/We hereby authorize HDFC Securities Limited to furnish the above information to HDFC Bank Limited for the purpose of compliance and reporting under CBDT Rules as applicable.

### Details under FATCA/Foreign Tax Laws:

Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s). If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

Please note that you may receive more than one request for information if you have multiple relationships with different members of the HDFC Group. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body\*
- 2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)
- \* Government or agency thereof or a municipality

# Application for Opening Demat Account - (For Individuals) 1 Think Techno Campus, Building - B, "Alpha", Office Floor 8, Kanjurmarg (East), Mumbai - 400042.

BAR CODE NUMBER

	DEMAT ACCOUNT OPEN							
Ve request you to or	pen a depository accour				•			
	Ordinary Resident	NRI - Repatriable	NRI - Non - Ri		Foreign National	Promoter	Margir	n Account
	Qualified Foreign Inve	estor	Others (pls sp	ecity)		_		_
Part - B (2)	DETAILS OF ACCOUNT I	HOLDER(s)	(Please fill all the	details in CAPITA	AL LETTERS only)	No	of Holders	
Sole / First Holder	F I R S T	N A M E	M I		N A M			T N A M
Second Holder	F I R S T	N A M E	M I		N A M			T N A M
Third Holder	F I R S T	N A M E	M I	D D L E	N A M	Е	L A S	T N A M
	ons (AOP), Partnership Firr nership Firm, Unregistered			unt is opened in t	he name of the natu	ral persons, the na	me & PAN of t	he Association
Persons (AOP), Parti Name	lership Film, Offiegistered	Trust, etc., should be if	nermoned below.					
PAN								
	PAN OF APPLICANT							
PAN: Applicant	F I R S T		SEC	OND		ТНІ	R D	
TAIL Applicant				O N D				
Part - B (4)	N CASE OF NRIs / FORE	IGN NATIONALS						
RBI approval							No	on face to face custom
reference number						RBI Approval	Date D D	M M Y Y Y
Part - B (5)	GUARDIAN DETAILS (WI	here sole holder is a r	minor)					
Guardian Name								
PAN		For ac	count of a minor, two KYC	application forms m	ust be filled i.e. one for	he guardian and anoth	er for the minor (	(to be signed by guardian)
ationship of guardian	n with minor					g		, , g,
ationship of guardial	1 WILL THILLOT							
	BANK DETAILS							
•	Demat account holder for		,	e give NRE Banl	k details only in cas	e Demat account i	s to be opened	d as NRE & NRO.
Bank A/C type	Savings A/C	Current A/C Of	ther (pls specify)		DTOO (NEET / III	-000		
Account No.			0 1 10		RTGS / NEFT / II	-SC Code		
MICR Code			Customer ID			D	· KUDEO D	
Bank Name						Branch cod	e if HDFC Ba	nk A/C
Branch Address								
ity / Town / Village				State				
Country					PIN (mandatory)			
	Please attach photo cop	y of Blank / Cancelled	d cheque to verify th	e 9 digit MICR c	ode			
	SERVICE REQUEST							
·	hrough Demat Debit and Plo	age instruction (DDPI)	Yes No			SMS alert is mand	latory if you ar	e giving POA.
•	First holder Yes	No	Second holder		No	Third holder	Yes	No
	To be filled by persons seeking / Portfolio Manager and do not			e trading facility or v	who have given Powe <b>r</b> o	Attorney to operate to	ne Depository acc	count to a stock broker/Par
livery Instruction  6) booklet facility	Yes, I / we wish to receive	ve DIS Booklet at the time of	of account opening	No, however, th	ne DIS booklet should b	e issued to me/ us im	mediately on my	our request at any later d
	Yes, To receive credit a	utomatically into my/our a/	c (Default is, Yes)	No NOTE	E: SI for a Non PIS NI	RE Demat A/c will b	e by default ma	arked as "No."
•		e instructions in my/our ac	count without any further	r instruction from m	ny/our end No	(If not marked, the	default option as	s "NO")
nding Instruction	Yes, to accept all pledge		G-Sec / SGB) submitte	ed by me / us for ex	ecution are bonafide a	and arising out of ger	uine trade or tra	ansfer transaction
nding Instruction Ige Instruction Time Self		all transfer instructions (i.e	0 0007 0027 0027					
nding Instruction dge Instruction Time Self		all transfer instructions (i.e	0 000, 000, 000,					
nding Instruction dge Instruction e Time Self claration		all transfer instructions (i.e	All joint account holders	·				
nding Instruction dge Instruction e Time Self claration Joint accounts, comm	Yes, I / we declare that	First holder	·	S				
nding Instruction dge Instruction e Time Self claration Joint accounts, comm	Yes, I / we declare that	First holder	All joint account holders	S				
nding Instruction Ige Instruction Time Self laration Joint accounts, comm	Yes, I / we declare that	First holder	All joint account holders the holder or survivor (s)	S	7			
nding Instruction dge Instruction e Time Self claration Joint accounts, comm	Yes, I / we declare that	First holder	All joint account holders the holder or survivor (s)	s	Emp N	lame & Emp Cod	e	
nding Instruction dge Instruction e Time Self claration  Joint accounts, comm	Yes, I / we declare that a munication to be sent to oint Accounts	First holder Anyone of	All joint account holders the holder or survivor (s)	s	Emp N	lame & Emp Cod	9	Seal for Sign Verification



The appended Tariff will be applicable for the customer opting for the Regular / Basic Services Demat Account

### **Annexure - Regular / Basic Services Demat Account (BSDA)**

			I wish to open a Regular Demat Account	I wish to open Basic Services Demat Account (BSDA)
Sr.	Fee Head	Type	Regular Demat Account	Basic Services Demat Account (BSDA)
No.	ree neau	туре	Fees	Fees
1	Account opening			Nil
2	AMC		Rs. 750/- p.a. (Free for first year.)	Holding Value between 0 to 50,000 - NIL AMC
_	71110		, , , , , , , , , , , , , , , , , , ,	Holding Value between 50,001 to 2 Lacs - Rs. 100 p.a.
3	Dematerialization	Certificate + Dematerialisation request	Rs. 5/- per certificate + Rs. 35/- per request or min. Rs.40/-	Rs. 5/- per certificate + Rs. 35/- per request or min. Rs.40/-
4	Remarterialization	Remarterialsation Request	Rs 30/-per request +NSDL /CDSL actuals, Currently A)Rs 10/- for every hundred securities or part thereof or B) a Flat fee of Rs 10/- per certificate whichever is higher (Min Rs. 40/- and Max Rs. 5 lacs)	Rs 30/-per request +NSDL /CDSL actuals, Currently A)Rs 10/- for every hundred securities or part thereof or B) a Flat fee of Rs 10/- per certificate whichever is higher (Min Rs. 40/- and Max Rs. 5 lacs)
_	Debit transaction charges	On Market	0.04% of the value of t	xn or Rs. 20/- whichever is higher
5	(Equity/Equity MF) (Market/Off - Market)	Off Market	0.04% of the value of t	xn or Rs. 20/- whichever is higher
_	Debit transaction charges	On Market	0.04% of the value of txn or Rs	s. 20/- whichever is higher (Max Rs. 5000)
6	(Debt/Debt MF) (Market/Off - Market)	Off Market	0.04% of the value of txn or Rs	s. 20/- whichever is higher (Max Rs. 5000)
7	Credit Transaction		NIL	
8	Pledge Services (Creation / Invocation / Closure)		Margin Pledge in Favor of HDFC	40/- whichever is higher Securities Limited - Rs. 10/- per transaction DFC Securities Limited - Rs. 20/- per transaction
9	Courier charges per	Inland Address	Rs.	35/- per request
9	Demat/Remat request	Foreign Address	Rs. 5	500/- per request
10	Delivery Instruction Booklet		Rs. 75/- p	per booket (5 leaves)

### Terms & Conditions:

- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit transactions.
- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation/POA for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the HSL sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days and would be made available on my/our website
- \* \*\*\* The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. (For more details, kindly refer our website www.hdfcsec.com)
- To evaluate the eligibility for Basic Services Demat Accounts (BSDA), the value of holdings will be determined on a daily basis, as per the file sent by the NSDL/CDSL The AMC will be calculated at the pro-rata basis based on the value of holding of securities in the account.
- In case of BSDA, such accounts would be levied AMC applicable basis the value of holdings exceeding the prescribed limit immediately from the next day of exceeding such limit.
- Incase the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted
  to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- Incase if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL/CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- · All instructions for transfer must be received at the designated DP servicing branches of the HSL at least 24 hours before the execution date.
- In case of non-recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HSL and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or incase if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.

X Signature of 1st Holder

X Signature of 2nd Holder

X Signature of 3rd Holder



# TRADING ACCOUNT RELATED DETAILS - PART II

BANK AND DEPOSITORY ACCOL	INTS DETAILS:		
Bank Name: HDFC Bank			
Bank A/C No.		Accoun	t Type: Savings Current
IFSC Code	MIC	R Number:	
Branch Address			Branch Code
DP Name		Customer ID	
Client ID (BO ID)	DP ID	: I N	
Client ID (BO ID)		Depository Name (tic	sk one) NSDL CDSL
TRADING PREFERENCES:	aa whara yay wiah ta trada. Dla	and attility off the appropriate action	haaan bu yay
	es where you wish to trade. Pie	ase strike off the segment not c	nosen by you.
Exchanges Segments	NSE	BSE	мсх
Cash Market/Mutual Fund	S8	<b>S</b> 9	NA
	X Signature of Client	X Signature of Client	
Futures & Options	X Signature of Client	NA	NA
Currency Derivatives	X Signature of Client	NA	NA
Commodity Derivatives	NA	NA	X Signature of Client
If you do not wish to trade in a	ny of segments / Mutual Fund,	please mention here	
<ul><li>b) On an average, loss makers</li><li>c) Over and above the net traditransaction costs.</li></ul>		e to Rs 50,000. s expended an additional 28% o 50% of such profits as transact	
Source: 1. SEBI study dated Ja and Options (F&O) Segment", equity F&O during FY 2021-22	wherein Aggregate Level finding	f Profit and Loss of Individual Tr gs are based on annual Profit/Lo	aders dealing in equity Futures oss incurred by individual traders in
	e specify the proof submitted to		ation / letter should be taken from th derivatives segment: (Please refer
applicant/constituent or its Part	ners/promoters/whole time dir		ange/any other authority against the harge of dealing in securities during
	s, please specify:		
DEALINGS THROUGH SUB-BR	OKERS AND OTHER STOCK BR		s, please mention details below:
Sub-Broker?s Name: R. O. Address:	Dhana	SEBI Registration number: Fax:	Website:
	Phone: tock broker/sub-broker (if case		ers/sub-brokers, provide details of all)
Name of Stock Broker:		Name of Sub-Broker, if any:	
Client Code:			Exchange:
Details of disputes/dues pending	g from/to such stock broker/sub	-broker:	
ADDITIONAL DETAILS: I/we w	ish to receive Electronic Co	ontract Note (ECN) <b>OR</b> Phys	ical contract note (PCN)
E-mail ID for ECN			
I wish to avail of the facility of in			ase Specify:
Number of years of Investment/	irauling expendince. INO prior	Experience Y Y Stock	Y   Derivatives

Name:		(Optio	11011															
Chatter	Doro:	ior	Λ	thories d	Doros		Eviatina	Cli	onto		hora	Dlaca	0.00	ojt.				
Status:	Remis	ier	Au	thorized	Persol	n 📋	Existing	J UIII	ents	Ut	ners,	Please	- i					
Address:		++	++	++		Phone					+			1				
						FIIOHE	-							X	Signa	ture of	Intro	ducer
					Declar	ations	, Term	s &	Con	dition	s & l	Votes						
eclaration: I/We a rules and regulations s as are in force from nediately. In case any aplied and will continued.	of the Deposi time to time for of the above in	itory and Depo or such accou nformation is t	ository Parti ints. I/We he found to be	icipants pertai ereby declare false or untru	ining to an a that the deta e or mislead	ccount which ails furnished ling or misre	are in force above are to presenting, I	now harue and am/we	ave been r I correct to are aware	ead by me the best o that I/we r	lus and land for mylour may be he	We have un knowledge eld liable for	nderstoo and beli r it. In ca	d the sa of and I/o se of no	we underta n-resident	ke to inform account, I/V	you of any le also dec	changes there lare that I/We h
OT Terms and Cond Central Board of Dire mation and certain ce ide information to any se ensure you advise respond to our reque	ect Taxes (CBE ertifications an y institutions si e us promptly, i	id documentat uch as withho i.e., within 30	ition from all olding agent days. Pleas	our account l s for the purpose note that yo	holders. In re ose of ensur ou may recei	elevant cases ing appropria ive more that	s, information ate withholding one reques	n will ha	ave to be r	eported to nt or any p	tax autho proceeds	rities/ appo in relation t	inted ag hereto. S	encies. 7 Should th	Towards co nere be an	mpliance, w / change in	e may also any informa	be required to ation provided b
it Authorisation: I/V I be maintained by n it the Service Charge bunt maintained by lect to the jurisdiction	We hereby au ne/us in the es. I /We herel me/us with ar	uthorize the said Account by further aut ny bank. I/We	HSL to de ts to facilit thorise HSL e specificall	ebit all types tate the debit to charge ar	of Bank of Serviny interest o	charges / c ce Charges. n debit bal	ommission The failure ance in the	on par said	rt of me / i Accounts	is to main due to t	táin suffi he debiti	cient balan ng of Ser	ce in the vice Ch	said Ao arges,	ccount sha and/or red	all not in any cover the c	way impa harges fro	ir the right of t m any other
ail Statement: I/We recy because the sta hold HSL liable if any responsible if I/we do ount. I/We understar essary steps to ensu fidentiality/secrecy o	tements are by problem arison not receive sond and agree are confidential the login name.	peing sent to the ses with my/obstatement due that the email ality and secretaring and passy	the email IE our comput e to incorre il statement recy of the le sword is cor	D. I/We shall er network be ct email addr s will only be ogin name ar npromised. I,	verify the and ecause of maless and technical sent to the and password/We shall into	uthenticity o le/ us receiv hnical reaso First holder d of the inter form HSL in	f the emails ing stateme ns. I/We co in the accornet/email ac writing if th	I/We rents from the second term of the second term	eceive. I/W m HSL. I/V to have rea we am/are I/we am/ any chang	e shall no Ve are aut d and und aware tha are aware in the em	t hold the thorised lerstood t I/we wi that the nail addre	e HSL respoy the other the Terms of I not receiver transaction ss.	onsible r holder & Condi /e the tr statem	for any s s to rece tions (a ansactio ent may	statement eive the St copy of w n stateme be access	received fro atements to hich I am in nts in paper sed by othe	m frauds/i the email possession form. I/w r entities in	mposters. I/Wo address. HSLs on of) pertaining e will take all to case the
tructions related to model to the could be taken by the coing 10 days prior noti	lient. Such st	atement may	be access	ed by other e	ntities in ca	se the confi	dentiality/ se	crecy	of the logi	name an	ıd passw	ord is com	promise	d. II. Pa	rticipant o	r Client can	terminate	
				Acknov	wledgr	nent /	Conse	nt	- Indi	vidua	ıl Cu	stome	r					
oplicant Prefe	erence fo	r receivi	ing Do	uments	as part	of Acc	ount Op	enir	ng Kit									
I / We wish to	receive t	he follow	ving doc	cuments	in 🗆	Physica	al Form		Elec	tronic	Form							
Rights & Oblig (including add trading); Rights and Ob	litional rig	jhts & ob	ligation	s in case	of inter	net/wire	less tecl	nnolo	ogy bas	sed		5. Dem 6. Fina 7. FAT( 8. Trad	ncial CA De	Detai eclara	s tion	sheet		
and depositor Uniform Risk Guidance Not	Disclosur							S.						(0):				
t Holder's Name:																		re required)
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I I I a I al control del 1														S15				
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te: I Holder's Name:				Place	:									S15	(S	ignature d	of 3rd Ho	older)
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te: I Holder's Name: te: laration: I / We have u ditions mentioned abo  OFFICE USE ON Code allotted to	ve and hereby	y accept the s	same. I / We	ts of this form understand	n and hereby that my pers	onal details	as provided				vill be use		CR repo	d compl ting.	,			
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tte: d Holder's Name: tte: laration: I / We have u ditions mentioned abo  R OFFICE USE ON C Code allotted to  Docui Employee Name Emp. Code	ILY (LC to the Client ments verif	y accept the s fill details i: fied with 0	and Signary and Si	n)  understand to understand t	n and hereby that my pers	onal details	e by	/ availa	able in HSL	records w	clie	d for CKY(	ewed	d compl ting.	ete, I / We	have read a	and unders	tood the Terms
Employee Name Emp. Code	ILY (LC to the Client ments verified with the client we have ent (s), RDI on-mandato	y accept the s  fill details  i:  fied with 0  made the c D and Guid  ory docum	Designation  Client awadance Nonents wo	ts of this form of understand to understand	and hereby that my person verification verif	ation don	e by  orised S , tariff sha a copy o	igna di ali ti	tory  d all the KYC	non-madocume	Clie  Mandatorents. I/V	nt Intervi	ewed  Denote the service of the serv	d completing.	tion Signave also	have read a	Autho	rised rised

Nomination Form for Demat and Trading Account

NSDL Form 10 / CDSL Annexure 3.2

To be filled in by individual applying singly or jointly (Not applicable for HUF/Minor Account in case NSDL)

BAR CODE NUMBER

DP ID	(pleas	se tic	k ap	propi	iate	box)	120	86700		12095000				

Date D D M M Y Y Y Y Client ID HSL Trading Account

I/We wish to make a nomination for Demat & Trading Account (As per details given below)

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto the nominees in the account	ree 1st Nominee	2nd Nominee	3rd Nominee
Name of the Nominee(s) (Mr./Ms.)	F I R S T M I D D L E	F I R S T M I D D L E	F I R S T M I D D L E
Shares of Each Nominee Equally	If Not Equally, specify %	If Not Equally, specify %	If Not Equally, specify %
For CDSL Residual Securities	(Please tick any one nominee. If tick not marked default will be first nominee)		
		transferred to the first nominee mentioned in the for	m
Relationship with Applicant (If any)			
Nominee(s) Address			
	COUNTRY PIN Code	COUNTRY PIN Code	COUNTRY PIN Code
Nominee Identification Details (please tick any one from below and provide details of the same)	Photo and Sign Aadhar No.  PAN Savings Bank A/C No.  Demat account ID Proof of Identity  Please specify	Photo and Sign Aadhar No.  PAN Savings Bank A/C No.  Demat account ID Proof of Identity  Please specify	Photo and Sign Aadhar No.  PAN Savings Bank A/C No.  Demat account ID Proof of Identity  Please specify
	Please affix your recent passport size photograph	Please affix your recent passport size photograph	Please affix your recent passport size photograph
	Sign	Sign	Sign
Mobile/Telephone no. of Nominee(s)			
Email ID of Nominee(s)  Date of Birth of Nominee(s)	D D M M Y Y Y	D D M M Y Y Y	D D M M Y Y Y
Guardian Details (should Name of Guardian (Mr./Ms.)	d be filled only if nominee(s) is minor)		
In case of minor nominee(s)	FIRST MIDDLE	F I R S T M I D D L E	F I R S T M I D D L E
	L A S T	L A S T	L A S T
Address of Guardian(s)	CITY STATE	CITY STATE	CITY STATE
	COUNTRY PIN Code	COUNTRY PIN Code	COUNTRY PIN Code
Relationship of Guardian with Nominee (If any)			
Guardian Identification Details (please tick any one from below and provide details of the same)	Photo and Sign Aadhar No.  PAN Savings Bank A/C No.  Demat account ID Proof of Identity  Please specify  Please affix your recent passport size photograph	Photo and Sign Aadhar No.  PAN Savings Bank A/C No.  Demat account ID Proof of Identity  Please specify  Please affix your recent passport size photograph	Photo and Sign Aadhar No.  PAN Savings Bank A/C No.  Demat account ID Proof of Identity  Please specify  Please affix your recent passport size photograph
	Sign	Sign	Sign

Mobile/Telephone no. of Guardian			
Email ID of Guardian			
I/We wish to opt out of a	nomination		
aware that in case of death of a	lo not wish to appoint any nominee(s) in my/our trading ill the account holder(s), my/our legal heirs would need documents issued by Court or other such competent a	d to submit all the requisite documents/informat	olved in non-appointment of nominee(s) and further are on for claiming of assets held in my/our trading /demat rading/demat account.
be bound by the rules as are in force y changes therein, immediately. In ca clare that I/we have complied and wil mination shall supersede any prior no	of the Depository and Depository Participants pertaining to an ac from time to time for such accounts. I/we hereby declare that the ise any of the above information is found to be false or untrue or I continue to comply with FEMA regulations. I/we acknowledge thomination made by me / us and also any testamentary document nomination in my demat a/c and vice versa.	details furnished above are true and correct to the best of nisleading or misrepresenting, I am/ weare aware that I/we e receipt of copy of the document, "Rights and Obligations	my/our knowledge and belief and I/we undertake to inform you of may be held liable for it. In case non-resident account, I/we also of the Beneficial Owner and Depository Participant. This
Signature & Name of holder (s)	S16		
noider (3)	Signature 1st holder	Signature 2nd holder	Signature 3rd holder
5 G			_
S . E	ne Witness, ONLY WHEN thumb impression affixed by the a	any of holder	Signature of Witness
otes:  All communication shall be sent at nglish or Hindi or any of the other I eclaration form may be signed usin assword of the email account. II. Cerminate this facility by giving 10 day equired documents within one year	anguage not contained in the 8th Schedule of the Constitution ig e-Sign facility or wet signature and in these cases, witness we client must promptly inform the Participant if the email address yes prior notice. 6. In case of joint account, on death of any of the of the date of demise. 7. In case if first holder is selected, the sent as per the preference mentioned at Sr. No. 4 and communications.	n of India must be aftested by a Magistrate or a Notary I vill not be required. 5. For receiving Statement of Accoun s has changed. III. Client may opt to terminate this facil e joint account holders, the surviving account holder(s) h he communication will be sent as per the preference m	Public or a Special Executive Magistrate 3. Signatures other than Public or a Special Executive Magistrate. 4. The nomination and it in electronic form: 1. Client must ensure the confidentiality of the ty by giving 10 days prior notice. Similarly, Participant may also as to inform Participant about the death of account holder(s) with entioned at Sr. No. 4. In case 'All joint account holders' is opted default option will be communication to 'first holder', if no option
Nomination form accepted and	registered wide Registration No		
Dated			For Depository Participant (Authorised Signatory)

# >>>STAMP DUTY TO BE PAID>>>

This document is voluntary. Howeve trading platform with integrated bank of HDFC Securities Limited and HDF	or ocarriloco	UDN				
		Master Mandate Let	ter			
I / We refer to the Mai Obligations Confirmation the Client (as described	on dated	, 20 ("Addi	tional Rights	and Obliga	tions Confirmation	
The Client is desirous transactions (hereinafte Securities and for this pand Obligations prescr HDFC Securities (here the Account Opening Described below) (here Master Mandate Letter, mandate letter and instanted they are the holders.	er, for the sake of converger, for the sake of converger, for the Client has ibed by SEBI and Additionafter collectively refered occumentation and SEI einafter collectively reference supplemented by (i) De	enience, collectively ref signed the account ope itional Rights and Oblig red to as the "Account BI regulations and circu erred to as the "Joint A emat Debit and Pledge In	erred to as " ening form to gations Conf Opening Do ulars, the Cli account Hold nstruction in	Securities") ogether with irmation by cumentatio ent and the ers") have favour of [H	with or through the Mandatory the Client in far n"). Under the te other account h agreed to execu DFC Securities]	HDFC Rights your of erms of nolders ate this and (ii)
It is understood and ac principal letter of authorinstructions for facilitating	ority and instrument ar	nd the Demat Debit an	d Pledge In	struction a	nd Mandate Let	
I/We have perused the mandate has been gran agreed to by me/us in obligations thereunder.	nted, the instructions he	rein have been given ar	nd the agree	ments here	in contained hav	e been
This mandate may be re Client outstanding at th until all transactions an towards HDFC Securitie	ne time such revocation d instructions already e	and the mandate and executed or issued in p	instructions	granted he	reunder shall co	ontinue
This master mandate le	tter shall come into force	e upon its acceptance b	y HDFC Sec	urities.		
I/We the Client and	the other account hentheday of 20		executed	this maste	er mandate le	lter at
		Signature & Name of holder (s)				
S1						
Signature of 1st (trading) holder	Signature of 2nd Demat holder (if any)	Signature of 3rd Demat holder (if any)	Signature of 2nd (if an		Signature of 3rd Bank I (if any)	ıolder
A t d						
Accepted For HDFC Securities Lir	mited					
	Date:					

**Authorised Signatory** 

Place: \_

UDN

(This document is voluntary. However, the same is required to be executed by the customers in order to avail of seamless trading platform with integrated bank account, demat account and broking account. This mandate letter and instructions are being issued by the client in furtherance of and pursuant to the Account Opening Documentation and forms an integral part of and supplements the Master Mandate Letter. If you do not wish to use the services of HDFC Securities Limited and HDFC Bank Limited, you need not execute such documents.)

### **Bank Mandate Letter and Instructions**

- 1. I/We, the Client / Joint Account Holders, mandate and instruct HDFC Bank, acting through any of its officers or employees:
- a. To block, hold and/or create / mark a lien, charge or hypothecation on one or more of the Associated Bank Accounts or all or any of the money therein including in the internal systems of the Bank, as HDFC Bank may deem fit and thereafter without any further instructions (this writing constituting my/our instructions to do so) to transfer all the said money to HDFC Securities or any account(s) of HDFC Securities specified in the schedule hereto or to such other accounts as may be specified on the website of HDFC Securities and by electronic mail otherwise or in accordance with the instructions of HDFC Securities.
- b. To transfer any money from any Associated Bank Account(s) to HDFC Securities or any account(s) of HDFC Securities specified in the schedule hereto or to such other accounts as may be specified on the website of HDFC Securities and by electronic mail or otherwise for the following purposes:(i) towards stock exchange related margin/delivery and/or settlement obligations arising out of trades executed by me/us on the stock exchange through HDFC Securities;(ii) for recovering any outstanding amount due from me/us arising out of my/our trading activities on the stock exchanges through HDFC Securities;(iii) for meeting obligations arising out of my / our subscription to, units of Unit Trust of India or other mutual funds, government securities, negotiable instruments, certificates of deposits, participation certificates, commercial paper, bank deposits, fixed deposits, money market instruments, collective investment schemes or any other security or financial instrument, derivatives, including but not limited to equity derivatives, currency derivatives, interest rate derivatives, forwards, futures, swaps and options, and public provident fund, national savings schemes, new pension system / scheme and other savings schemes of Government of India or other undertakings, life insurance, general insurance or such other products / facilities / services offered and/or distributed by HDFC securities, from time to time or in connection with any transaction effected or entered into or proposed to be effected or entered into by HDFC Securities pursuant to the said Account Opening Documentation; (iv) towards monies/ fees/ charges, or the like due to HDFC Bank and/or HDFC Securities payable by virtue of my/ us using/ subscribing to any of the facilities/ services availed by me/ us. Provided further, that any funds that have been erroneously transferred to HDFC Securities / received by HDFC Bank, or that HDFC Securities or HDFC Bank were not entitled to receive, shall be re-transferred / returned to the associated account from which they were transferred.
- c. To sign, deliver and/or acknowledge all instructions, forms, instruments, cheques, drafts, slips, receipts and other instruments and writings which are necessary or advisable for performing all or any of the mandate and instructions hereby conferred.
- d. To apply for, purchase, redeem and/or sell in any other manner acquire or dispose ofunits of Unit Trust of India or other mutual funds, government securities, negotiable instruments, certificates of deposits, participation certificates, commercial paper, bank deposits, fixed deposits, money market instruments or other instruments of like nature, collective investment scheme or any other security or financial instrument, , currency derivatives, interest rate derivatives, and public provident fund, national savings schemes, new pension system / scheme and other savings schemes of Government of India or other undertakings, life insurance, general insurance or such other products/facilities/services, offered and/or distributed by HDFC Securities.
- e. To receive and hold certificates, title documents, deposit receipts, account statements and other documents and writings pertaining to mentioned above and/or any amount invested, advanced or expended by me/us or on my/our behalf and to acknowledge receipt of the same
- f. To sign and endorse all such application forms, transfer deeds, deposit receipts, redemption requests, negotiable and other instruments, contracts and other writings and do all such acts as may be required for all or any of the above purposes or otherwise in connection with any transaction effected or entered into or proposed to be effected or entered into by HDFC Securities pursuant to the said Account Opening Documentation. For this purpose HDFC Bank may act on the basis of any certificate or writing by HDFC Securities that any transaction is effected or entered into or proposed to be effected or entered into by HDFC Securities pursuant to the said Account Opening Documentation and the same will be binding on the Joint Account Holder(s) and any other person relying on this mandate and instructions.
- g. To send a consolidated summary of my/our scrip-wise buy and sell positions taken with average rates by short message service or through email on a daily basis. PROVIDED THAT HDFC Bank shall exercise the mandate conferred only pursuant to instructions in that behalf given by the Client, which instructions may be given orally, over the telephone, through the internet, through a kiosk, electronically or in any other manner acceptable to HDFC Bank and such instructions given to HDFC Bank or HDFC Securities shall be admissible in evidence and shall not be questioned by me/us and shall be conclusive and binding against me/us. AND PROVIDED FURTHER THAT the aforesaid mandate and instructions may (at HDFC Bank's and/or HDFC Securities' option) be exercised by HDFC Bank on behalf of the Client alone or all or any of the Joint Account Holders, and any such exercise shall be binding upon all the Joint Account Holders. AND I/We the Joint Account Holders do

mandate and instruct HDFC Bank to, from time to time and without requiring my/our further instructions or consent (this writing constituting my/our consent).

X Signature of 1st holder

For the purpose of the above mandate and instructions, the Joint Account Holders are instructing HDFC Bank and HDFC Securities: (i) The Joint Account Holders of any Associated Bank Account(s) shall at all times make available sufficient funds in the Associated Bank Account(s) for the purpose of the transactions to be carried out pursuant to the Account Opening Documentation and shall not, without HDFC Securities prior written consent, close an Associated Bank Account and/ or Associated Demat Account or directly or indirectly operate or give instructions in respect of any Associated Account which may prejudice HDFC Securities rights under the Account Opening Documentation or the transactions undertaken thereunder or mandate exercisable by HDFC Bank hereunder or which would be contrary to the provisions of the Account Opening Documentation or any acts by HDFC Bank pursuant to the above. (ii) The Joint Account Holders of any Associated Bank Account(s) agree that (a) instructions given by the Client to HDFC Bank to block funds in or to transfer funds from an Associated Bank Account in connection with any transaction entered into and/or to be entered into by the Client with or through HDFC Securities will be given first priority over any other instructions or cheques (whether prior or subsequent) given or issued by any Joint Account Holder by itself or through any other attorney,(b) funds once blocked on the instructions of the Client in connection with any transaction entered into and/or to be entered into by the Client with or through HDFC Securities can be released only with the express written consent of HDFC Securities to HDFC Bank; and(c) if the Client has given any blocking, holding, debit or other instructions in respect of any money in any Associated Bank Account, in connection with any transaction entered into and/or to be entered into by the Client with or through HDFC Securities, and HDFC Securities receives transfer, debit or other instructions in respect of such money from any Joint Account Holder or any other person, then HDFC Bank shall first give effect to the first mentioned instructions and shall also transfer the concerned money to HDFC Securities account;

In case of death, disability, winding up or liquidation of any Joint Account Holder, HDFC Bank shall be entitled to immediately freeze the Associated Bank Accounts, and the mandate, shall be revoked as regards such Joint account Holder upon the intimation in writing to HDFC Bank and HDFC Securities of such death, disablement, dissolution, winding up or liquidation by the surviving Joint Account Holder/s and the instructions and agreements contained herein shall be revoked within two days of such intimation.

Due to any systems used for executing instructions there could be consequent delay of one business day (in the normal course) or other number of days (for reason not in control of HDFC Bank) from the date of receipt of the instructions to the actual execution of the instructions in the systems and hence instructions will be provided well in advance in order to enable timely delivery. Further all instructions, whether for a hold or transfer or otherwise would be in respect of the balances in the Associated Bank Accounts on the previous day and HDFC Bank would not permit blocking/transfer of any other action in respect of funds which have come to the credit of the Associated Bank Accounts on the current day.

This mandate may be revoked by the Client at any time, however, such revocation shall not affect the obligations of the Client outstanding at the time such revocation and the mandate and instructions granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this mandate and all outstandings towards HDFC Bank have been settled.

I/We	the	Client	and	the	other	account	holders	have	hereby	executed	this	mandate	and	instruction	at
				c	on the _	da	y of 20								

HDFC Bank I td

### List of HDFC Securities Ltd. bank accounts where funds can be moved w.e.f. October 01, 2019.

**Bank Name** 

	Dank Hamo	HDI O Balik Eta.
	A	ccount no
50200036340250, 00602340029410,	50200036338720, 50200036338717, 50200 00602340029427, 00602340029434, 00602	0036340151, 50200036338730, 50200036340148, 50200036340174, 0034589301, 00602030000061 00602340029392, 00602340029400, 2340029444, 00602340029451, 00602340029461, 00602340029478, 2340000727, 57500000389146, 00990610005906
ASSOCIATED BA	ANK ACCOUNT DETAILS:	
HDFC BANK A/C		Account Type
Customer / UCIC ID		Branch
Signature	S1 Signature 1st holder	Signature 2nd holder Signature 3rd holder
Name of Holder (s)		
Constitution		
Address		

<sup>\*</sup> CONSTITUTION: Individual / HUF / Company / Body corporate incorporated under the Companies Act, 1956 / a partnership firm registered under the Indian Partnership Act 1932, comprising of \_as partners / Others (Please specify)

UDN

This document is voluntary. However, the same is required to be executed by the customers in order to avail of seamless trading platform with integrated bank account, demat account and broking account. This Demat Debit and Pledge Instruction is being issued by the client in furtherance of and pursuant to the Account Opening Form and forms an integral part of and supplements the Master Mandate Letter. If you do not wish to use the services of HDFC Securities Limited and HDFC Bank Limited, you need not execute such documents.)

### **Demat Debit and Pledge Instruction**

-	_	
_	_	

HDFC Securities Limited,

Depository Services, I Think Techno Campus, Building - B,

"Alpha", Office Floor 8, Kanjurmarg (East), Mumbai - 400042.

ACCOCIATED	DEMAT ACCO	LINT DETAILS:
ASSULTATED	IJEINIAI ALILI	LINI DE LAILS

DP ID	DP Account
Customer / UCIC ID	Depository
Name of 1st holder	
Name of 2nd holder	
Name of 3rd holder	

	<u> </u>			
Sr No.	Purpose	1st Holder Sign	2nd Holder Sign	3rd Holder Sign
1	Transfer of securities held in the Demat Associated Accounts of the Joint Account Holder(s) towards Stock Exchange related deliveries /settlement obligations arising out of trades executed by Joint Account Holder(s) on the Stock Exchange through HDFC Securities			
2	Pledging / re-pledging of securities in favour of HDFC Securities and / or the clearing member for the purpose of meeting margin requirements of the Joint Account Holder(s) in connection with the trades executed by the Joint Account Holder(s) on the Stock Exchange			
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms			
4	Tendering shares in open offers through Stock Exchange platforms			

Please note, pt. 3 & 4 will be applicable from 18-Nov-2022 as per SEBI circular SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2022/137 dated 06-Oct-2022

I/We the	Client and the other	account holders have	e hereby executed thi	s Demat Debit and	d Pledge Instruction a	ıt
	on the	day of 20	-		_	

### List of HDFC Securities Ltd. demat accounts where securities can be moved w.e.f. October 01, 2019.

	Name of the Depository Participant	HDFC Bank Ltd.				
	NSDL	CDSL				
DP ID	CLIENT ID	DP ID	CLIENT ID			
IN300126	11178642,11178157,11248012,11276555	13012400	04524460, 00005785, 00004711, 00004724,			
IN300476	41561832		00444628, 05719918, 05719897, 05716497,			
IN301549	59644156, 59644164		05721165			

### INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS

- 1. Self attested copy of PAN card is mandatory for all clients.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCI Card and overseas address proof is mandatory.
- 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given
- 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted
- 10. For opening a minor's account with Depository Participant or Mutual Fund, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- 11 Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.
- Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
- 13.Demat master or recent holding statement issued by DP bearing name of the client.
- 14. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.

# B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

- 1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

# C. Proof of Address (POA): List of documents admissible as Proof of Address:

(\*Documents having an expiry date should be valid on the date of submission.)

- Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/Passbook Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,to their Members.
- 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.

# D. Exemptions/clarifications to PAN (\*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds upto Rs 50,000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public

Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

### Important:

The Bank / Securities staff carrying out the IPV should:

- 1. Sign in the place provided for "Signature of Authorised Signatory" within the box "For Office USE Only" on the KYC Form and
- 2. Affix the OSV stamp along-with the signature on the relevant supporting documents pertaining to Proof of Identity & Proof of Address.

### Important pre-requisites for the acceptance of the request of F&O privilege based on the supporting documents

List of acceptable documents	Condition if any
Copy of ITR Acknowledgement (for last financial year)	Should have a gross income of more than Rs. 1.2 lakh
Copy of Form 16 in case of salary income (for last financial year)	Should have a gross salary of more than Rs. 1.2 lakh
Net worth certificate (latest one or at the end of last financial year)	Should be dated and have a minimum value of Rs. 1.2 lakh
Salary Slip (for one month in current financial year)	Gross Salary should be more than Rs. 10,000
Bank account statement for last 6 months*	There should be balance atleast on a single day of more than equal to Rs. 10,000/-
Copy of demat account Holding statement. (not more than 3 months old) **	The statement should display the value of securities lying in the demat account. The total value should be more than Rs. 10,000/-
Life insurance policy	(any insurance co. with minimum cover of two lakh)
KVP,NSC,IVP & Bonds	Should be valid as on date of submission and have a minimum value of Rs. 10,000/-
Bank FD's, Corporate FD's	Should be valid as on date of submission and have a minimum value of Rs. 10,000/-
Mutual Fund Statement	Statement should not be more than 2 months old. The latest NAV and value should be more than Rs 10,000/-
Ownership of assest such as Gold	Value as mentioned on the bill should be Rs. 10,000/-
Letter from Society	The letter should state ownership of the flat. It should be on the letter head of the society
RC book of the vehicle	List of acceptable documents

- \* Bank statement as downloaded from the net banking or xerox of physical statement be submitted
  \*\* incase of demat account statement where only holdings are mentioned without valuation, then the same should be manually mentioned on the date on submission should be self attested by the client or the employee of Depository Participant (DP).

Checklist for Individuals / Minor / Applicant(s):			For DP use	
Self attested copy of PAN submitted by ALL applicants.	Y	N	Υ	N
In case the photo on PAN copy is not clear, another self attested ID proof	Y	N	Υ	N
Self attested copy of Address Proof of ALL the applicants	Υ	N	Υ	N
KYC form is duly filled, signed and submitted by ALL applicants	Y	N	Y	N
Photograph affixed and signed across such that part of the signature is on the form	Y	N	Υ	N
Copy of cancelled cheque leaf of the 1st applicant is provided	Υ	N	Υ	N
Type of account mentioned	Y	N	Υ	N
Complete address of the bank, i.e Name and Address in full with Pin code is provided.	Υ	N	Υ	N
MICR number duly filled-in	Υ	N	Υ	N
FTR CHECKLIST	Υ	N	Υ	N
Client Sign Missing ? Please Check That Customer has Signed the AOF in all the required Boxes	Υ	N	Υ	N
In Case of Scheme L - Check company code of Client (Finware) with company code in 'L' list	Υ	N	Υ	N
Copy of Pan Card & Address proof is Clearly Readable	Υ	N	Υ	N
Original seen & verification stamp is affixed on pan card/Address proof & signed	Y	N	Υ	N
SB A/c No written in the form is correct (14 digits) & belongs to the customer	Υ	N	Υ	N
All Alterations/Corrections are Attested by the customer	Y	N	Υ	N

### Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

#### **General Clause**

- 1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India(SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- 2. The DP shall open/activate Demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

#### **Beneficial Owner information**

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the Demat account or furnished to the DP from time to time.

### Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of Demat accounts"
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- 7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

### **Dematerialization**

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

### **Separate Accounts**

- 9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in Demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

### **Transfer of Securities**

- 11. The DP shall effect transfer to and from the Demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
- 12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his Demat account and the DP shall act according to such instructions

### Statement of account

- 13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 14. However, if there is no transaction in the Demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of Demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of Demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of Demat account in the electronic mode, then the Participant shall be obliged to forward the statement of Demat accounts in physical form.
- 16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

### Manner of Closure of Demat account

17. The DP shall have the right to close the Demat account of the Beneficial Owner, for

- any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her Demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their Demat account should be transferred to another Demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
- 18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of Demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

### Default in payment of charges

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the Demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

### **Liability of the Depository**

- 21. As per Section 16 of Depositories Act, 1996,
- 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
- 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

### Freezing/Defreezing of accounts

- 22. The Beneficial Owner may exercise the right to freeze/defreeze his/her Demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

### Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

### **Authorized representative**

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

### Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the Demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI
- 30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

### **Annexure - Regular / Basic Services Demat Account (BSDA)**

Sr.	Fee Head Type	T	Regular Demat Account	Basic Services Demat Account (BSDA)		
No.		туре	Fees	Fees		
1	Account opening		Nil			
2	AMC		Rs. 750/- p.a. (Free for first year.)	Holding Value between 0 to 50,000 - NIL AMC		
				Holding Value between 50,001 to 2 Lacs - Rs. 100 p.a.		
3	Dematerialization	Certificate + Dematerialisation request	Rs. 5/- per certificate + Rs. 35/- per request or min. Rs.40/-	Rs. 5/- per certificate + Rs. 35/- per request or min. Rs.40/		
4	Remarterialization	Remarterialsation Request	Rs 30/-per request +NSDL /CDSL actuals, Currently A)Rs 10/- for every hundred securities or part thereof or B) a Flat fee of Rs 10/- per certificate whichever is higher (Min Rs. 40/- and Max Rs. 5 lacs)	Rs 30/-per request +NSDL /CDSL actuals, Currently A)Rs 10/- for every hundred securities or part thereof or B) a Flat fee of Rs 10/- per certificate whichever is higher (Min Rs. 40/- and Max Rs. 5 lacs)		
5	Debit transaction charges	On Market	0.04% of the value of txn or Rs. 20/- whichever is higher			
)	(Equity/Equity MF) (Market/Off - Market)	Off Market	0.04% of the value of t	xn or Rs. 20/- whichever is higher		
6	Debit transaction charges (Debt/Debt MF) (Market/Off - Market)	On Market	0.04% of the value of txn or Rs	s. 20/- whichever is higher (Max Rs. 5000)		
0		Off Market	0.04% of the value of txn or Rs	s. 20/- whichever is higher (Max Rs. 5000)		
7	Credit Transaction	NIL				
8	Pledge Services (Creation / Invocation / Closure)		0.04% or Rs. 40/- whichever is higher  Margin Pledge in Favor of HDFC Securities Limited - Rs. 10/- per transaction  Margin Funding Pledge in Favor of HDFC Securities Limited - Rs. 20/- per transaction			
9	Courier charges per Demat/Remat request	Inland Address	Rs. 35/- per request			
9		Foreign Address	Rs. 500/- per request			
10	Delivery Instruction Booklet		Rs. 75/- per booket (5 leaves)			

#### **Terms & Conditions:**

- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit transactions.
- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation/POA for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the HSL sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days and would be made available on my/our website
- \*\*\*\*The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. (For more details, kindly refer our website www.hdfcsec.com)
- To evaluate the eligibility for Basic Services Demat Accounts (BSDA), the value of holdings will be determined on a daily basis, as per the file sent by the NSDL/CDSL The AMC will be calculated at the pro-rata basis based on the value of holding of securities in the account.
- In case of BSDA, such accounts would be levied AMC applicable basis the value of holdings exceeding the prescribed limit immediately from the next day of exceeding such limit.
- Incase the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted
  to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- Incase if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL/CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the HSL at least 24 hours before the execution date.
- In case of non-recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HSL and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or incase if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.





## **HDFC** securities Limited

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