| | | ISTOMER INFO | RMATION FO | RM For Individual | The state of the s | Please mention the Trading a/c number |
|--|--|---|--|--|--|--|
| Office use only Appli | ication Type | New ✓ Update A/c Ty | /pe 	✓ Normal S | mall Simplified (for | low risk customers) | |
| Prefix Applicant Name | | | | MIDDLE NAME | | SURNAME |
| (Same as per ID Proof) Gender Residential Status Nationality | Resident Indivi | dual NRI | Marital Status | Single Married | | S5 Signature across |
| Date of Birth PAN (Mandatory) Proof of Identity | | | | Expiry date | D M M Y Y Y Y | Applicant's latest passport size photo |
| Mother's Name | Ms. Mrs. / Ms. Mr. | FIRST NAME | | MIDDLE NAME | | SURNAME |
| Proof of Address (Spec | cify) | | | | Expiry Date | D D M M Y Y Y Y |
| Residence/ | | | | | | |
| City | | | State | | | |
| District PIN Code | | Please mon | Country | ark to ansura that the d | olivorables reach you | |
| Address Type | Residence | | | | | |
| Permanent Address Landmark (Mandatory) City District PIN Code | uso sume us i restr | | State Country urrent residence since | | | Mandatory to be filled if different from above Mandatory for Non Resident Applicant to specify overseas address ark to ensure |
| Separate email ID & mo | bile number decla | ration - I hereby declare th | at the below | | • | |
| Mobile number belongs to (Mandatory) Tel (R) | Self | Spouse | Dependent Parent R Mo | | N U M B E R | |
| Tel (O) + | STD | N U M B E | R - E | X T N Fax S | T D 🔅 N U M | BER |
| Email ID belongs to E-mail ID | Self | Spouse | Dependent Parent | Dependent Chile | dren | |
| | | | | | | |
| (Originals verified In-Person-Verification & Emp.) Designation & Emp. Branch code | und to be false or unereby consent to red id) and Self -Attest ion (IPV) details: doing IPV code | ntrue or misleading or misreprecive information from Central and Document copies received Name of the | Seal / Stamp of HDFC securities | e that I/We may be held lists? email on the above Report St. S. 2. | ble for it.My personal/KYC deta gistered number / email address. Sign M. M. Y. Y. Y. Y. Place _ ign this form if it is BLANK. Pland columns are completely f | nature of the applicant ease ensure all relevant illed to your satisfaction |
| | Prefix Applicant Name (Same as per ID Proof) Gender Residential Status Nationality Date of Birth PAN (Mandatory) Proof of Identity (Pleasespecify additional) Mother's Name Spouse's Name Mr./ Father's Name Proof of Address (Spectorrespondence Address Landmark (Mandatory) City District PIN Code Address Type Permanent Address Landmark (Mandatory) City District PIN Code Address Type Permanent Address Landmark (Mandatory) City District PIN Code Address Type Permanent Address Landmark (Mandatory) City District PIN Code Separate email ID & more Mobile number belongs to (Mandatory) Tel (R) Tel (O) Femail ID I hereby declare that the dether above information is for Registry/FATCA/KRA. IN (Originals verified in-Person-Verification Name of the Person Signature o | Office use only Application Type Prefix Mr. Mr. Applicant Name (Same as per ID Proof) Gender Male Fer Residential Status Resident Indivi Nationality Indian Date of Birth PAN (Mandatory) Proof of Identity (Pleasespecify additional) Mother's Name Ms. Spouse's Name Mr. / Mrs. / Ms. Father's Name Mr. Proof of Address (Specify) Residence/ Correspondence Address Landmark (Mandatory) City District PIN Code Address Type Residence Permanent Address same as Present Permanent Address Landmark (Mandatory) City District PIN Code Separate email ID & mobile number declar Mobile number belongs to Self (Mandatory) Tel (Q) + STD Tel | Prefix Mr. Ms. Others Season Name (Same as per ID Proof) Gender Male Female Transgender Residential Status Resident Individual NRI Nationality Indian Other, please specify Date of Birth PAN(Mandatory) Proof of Identity (Pleasespecify additional) Residence/ Correspondence Address (Specify) Residence/ Correspondence Address Stype Residence Business Residential at Permanent Address Landmark (Mandatory) City District PiN Code Piease men Address Type Residence Business Residential at Permanent Address Landmark (Mandatory) City District PiN Code Residence/ Correspondence Address Type Residence Business Residential at Permanent Address Permanent Address same as Present Address Permanent Address Permanent Address Same Specify Spouse Landmark (Mandatory) City District PiN Code Residing at C Separate email ID & mobile number declaration - I hereby declare the Mobile number belongs to Self Spouse Final ID I hereby declare that the details furnished in this form are true and correct to the above information is found to be false or untrue or misleading or misrepr Registry/FATCA/KRA. I hereby consent to receive information from Centra (Originals verified) and Self—Attested Document copies received In-Person-Verification (IPV) details: Name of the Person doing IPV Designation & Emp. code Branch code | CUSTOMER INFORMATION FO It the form degree, during, during, of the fire A con It the form degree, during, during, of the fire A con Office use only Application Type New Vipdate Alc Type Vincerial Status Prefix Mr. Ms. Others Applicant Name (Same as per ID Proof) Cender Male Female Transgender Marital Status Resident Individual NRI Nationality Indian Other, please specify Date of Birth Did Mink Y Y Y Y Y Proof of Identity Precof of Identity Precof of Address (Specify) Residence/ Correspondence Address Landmark (Mandatory) City District Country PIN Code Please mention a prominent landm Address Type Residence Business Residential and Business Registe Permanent Address same as Present Address Landmark (Mandatory) City District Country PIN Code Please mention a prominent landm Address Type Residence Business Residential and Business Registe Permanent Address same as Present Address Landmark (Mandatory) City State District Country PIN Code Residing at current residence since Separate email ID & mobile number declaration - I hereby declare that the below Mobile number belongs to Self Spouse Dependent Parent (Mandatory) Tal (R) High State District Country PIN Code Residing at current residence since Separate email ID & mobile number declaration - I hereby declare that the below Mobile number belongs to Self Spouse Dependent Parent I hereby declare that the details furnished in this form are true and correct to the best of mylour knowled the above information is found to be false or unriver or mislaciding or misrepresenting. If We analyze awar Registry: FATCA/KRA. I hereby consent to receive information from Central KYC registry through SN Signature of the Person doing IPV Designation & Emp. code Bernach code Bernach code Spouse Dependent Parent Designation & Emp. code Spouse Dependent Parent Proof of Salf States or misrepresenting. If We analyze awar Registry: FATCA/KRA. I hereby consent to receive information from Central KYC registry through SN Name of the organisation: HO | CUSTOMER INFORMATION FORM For Individual National Computer National Application Type New Vigate Ale Type Normal Small Simplified (for Prefix Mr. Ms. Others Prefix Mr. Ms. Others Residential Status Resident Individual NRI Nationality Indian Other, please specify Date of Birth PANN (Mandatory) Proof of Identity Pressure Name Mr. Ms. Pather's Name Mr. Ms. Pather's Name Mother's Name Ms. Pather's Name Ms. Pather's Name Ms. Pather's Name Mr. Mrs. / Ms. Pather's Name Mr. Proof of Identity Pressure Name Address (Specify) Residence Address (Specify) Residence Address (Specify) Proof of Mandatory) Proof of Mandatory Pin Code Please mention a prominent landmark to ensure that the deal of the plant | Office use only Application Type New Vupdate Aid Type Normal Small Simplified (for low risk outstoners) Prefix Mr. Ms. Others MIDDLE NAME Frest NAME Frest NAME Resident Individual NRI Nationality Indian Other, please specify Date of Birth PANULtransferory Proof of Identity Proof of Identity Fall Marks Name Mr. / Mrs. / Ms. Spouse's Name Mr. / Mrs. / Ms. Fall Status Resident Mrs. / Mrs. / Ms. Fall Status Resident Individual NRI Resident Individual NR |

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| *Gross annual income(ব)(Income range per annum) | Below Rs. 1 lac Rs. 1 to 5 lac | Rs. 5 to 10 lac Rs. 10 to 25 lac | Rs. 25 to 50 lac More than Rs. 50 lac |
|--|----------------------------------|--|--|
| OR Net worth (₹) | as on date D D M | M Y Y Y Y Net worth should not be | older than one year |
| Occupation details (please tick any one below and give brief details) Others (please specify) | | Employer Name t Housewife Designation | |
| Brief Details | Please tick, if applicable | Politically Exposed Person (PEP) Rela | ated to a Politically Exposed Person (PEP) |
| Are you a tax resident of any country other than | n India ? No Yes, then plea | se fill below | |
| City of Birth | ny Co | untry of Birth | |
| Address for Tax purpose (please tick) Sam | ne as Mailing Address Same as | permanent Address | |
| Country/(ies) of Tax Residency # | Tax Identification Numb | er (TIN)% Nationalit | ldentification Type (TIN or Other%, please specify) |
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| #To also include USA , where the individual is a cit | izen /green card holder of USA % | In case Tax Identification Number is not avail | able , kindly provide functional equivalent |

| FATCA/ CRS Indicia observed (ticked) | | Documentation required for Cure of FATCA/ CRS indicia | | | | | | |
|--------------------------------------|--|--|--|--|--|--|--|--|
| | | If customer does not agree to be Specified U.S. person/reportable person status | | | | | | |
| 1 | U.S. place of birth | Self-certification (in attached format) that the account holder is neither a citizen of United States of Americ nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND Any one of the following documents; Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth | | | | | | |
| 2 | Residence/mailing address in a country other than India | Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and Documentary evidence (refer list below) | | | | | | |
| 3 | Telephone number in a country other than India (and no telephone number in India provided) | Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and Documentary evidence (refer list below) | | | | | | |
| 4 | Standing instructions to transfer funds to an account maintained in a country other than India | Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and Documentary evidence (refer list below) | | | | | | |

Certification:

I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by melus on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided/available in the bank/securities records will be used for CBDT reporting. Further, I/We hereby authorize HDFC Securities Limited to furnish the above information to HDFC Bank Limited for the purpose of compliance and reporting under CBDT Rules as applicable.

Details under FATCA/Foreign Tax Laws:

Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s). If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

Please note that you may receive more than one request for information if you have multiple relationships with different members of the HDFC Group. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body*
- 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)
- * Government or agency thereof or a municipality

\$3

APPLICATION FOR ACCOUNT OPENING/ MODIFICATION USING AADHAAR / E-KYC FOR CUSTOMERS NOT OPTING FOR DBT

| 10, (1) The Branch Mana HDEC Bank Limited ("E | ger, ank" which term shall include its suc | branch. | |
|---|---|---------|--|
| | | | HSL" which term shall include its successors |
| UDN NO | | Date | // |
| DP ID | DP Account | | HSL Trading A/c |

and

Subject: A)Aadhaar - informed consent

- I voluntarily opt for Aadhaar OVD KYC or e-KYC or offline verification, and submit to the Bank/HSL my Aadhaar number, Virtual ID, e-Aadhaar, XML, Masked Aadhaar, Aadhaar details, demographic information, identity information, Aadhaar registered mobile number, face authentication details and/or biometric information (collectively, "Information").
- 2. I am informed by the Bank/HSL, that:
 - submission of Aadhaar is not mandatory, and there are alternative options for KYC and establishing identity including by way of physical KYC with officially valid documents other than Aadhaar. All options were given to me.
 - (ii) For e-KYC/authentication/offline verification, Bank/HSL will share Aadhaar number and/or biometrics with CIDR/UIDAI, and CIDR/UIDAI will share with Bank/HSL, authentication data, Aadhaar data, demographic details, registered mobile number, identity information, which shall be used for the informed purposes mentioned in 3 below.
- 3. I authorise and give my consent to the Bank/HSL (and its service providers), for following informed purposes:
 - (i) KYC and periodic KYC process as per the PML Act, 2002 and rules thereunder and RBI guidelines, or for establishing my identity, carrying out my identification, offline verification or e-KYC or Yes/No authentication, demographic or other authentication/verification/identification as may be permitted as per applicable law, for all accounts, facilities, services and relationships of/through the Bank/HSL, existing and future.
 - (ii) collecting, sharing, storing, preserving Information, maintaining records and using the Information and authentication/verification/identification records: (a) for the informed purposes above, (b) as well as for regulatory and legal reporting and filings and/or (c) where required under applicable law;
 - (iii) enabling my account for Aadhaar enabled Payment Services (AEPS);
 - (iv) producing records and logs of the consent, Information or of authentication, identification, verification etc. for evidentiary purposes including before a court of law, any authority or in arbitration.
- 4. I understand that the Aadhaar number and core biometrics will not be stored/ shared except as per law and for CIDR submission. I have downloaded the e-Aadhaar myself using the OTP received on my Aadhaar registered mobile number. I will not hold the Bank/HSL or its officials responsible in the event this document is not found to be in order or in case of any incorrect information provided by me.
- 5. The above consent and purpose of collecting Information has been explained to me in my local language.

B)Consent for Aadhaar details, email id & mobile number validation by KYC Registration Authority (KRA)

- I/We hereby provide my/our explicit consent that my/our Aadhaar record can be used by KRAfor the specific purpose of validating / maintaining / sharing my KYC record as an audit evidence. I/We understand that I/wewill have an option to request KRA for deletion of my/our Aadhaar record.
- I/We hereby consent for receiving information from KRA through sms/e-mail on my/our registered mobile number/e-mail address.
- I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent for sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.
- 4. I am / We are aware that if the QR code is not readable, then the KYC shall be placed on "Hold" by KRA. Also I/we understand that as per SEBI circular dated April 06, 2022, clients whose KYC records are not found to be valid by KRA after the validation process shall be allowed to transact in securities market only after their KYC is validated

| Name of Client | Aadhaar No (Last Four Digits only) | | | | | | ır D | igit | ts o | nly | Signature | | | |
|----------------|------------------------------------|--|--|---|---|---|------|------|------|-----|-----------|--|--|--|
| | * | | | • | * | • | • | • | • | | | | | |