

--	--	--	--	--	--	--	--	--	--

Fields marked in Red are mandatory. *Form to be filled in Capital Letters only.* *Strike off whichever is not applicable.* *Fill this form in English.*

Office use only Application Type ☐ New ☒ Update A/c Type ☒ Normal ☐ Small ☐ Simplified (for low risk customers)

Personal Details

Prefix ☐ Mr. ☐ Ms. ☐ Others

Applicant Name (Same as per ID Proof)

Gender ☐ Male ☐ Female ☐ Transgender Marital Status ☐ Single ☐ Married

Residential Status ☐ Resident Individual ☐ NRI

Nationality ☐ Indian ☐ Other, please specify

Date of Birth

PAN (Mandatory)

Proof of Identity (Please specify additional) Expiry date

Mother's Name

Spouse's Name

Father's Name

S5 Signature across photograph

Applicant's latest passport size photo

Present / Mailing Address

Proof of Address (Specify) Expiry Date

Residence/ Correspondence Address

Landmark (Mandatory)

City State

District Country

PIN Code

Please mention a prominent landmark to ensure that the deliverables reach you

Address Type ☐ Residence ☐ Business ☐ Residential and Business ☐ Registered Office ☐ Unspecified

Permanent Address

☐ Permanent Address same as Present Address

Permanent Address

Landmark (Mandatory)

City State

District Country

PIN Code

Residing at current residence since Please mention a prominent landmark to ensure that the deliverables reach you

Mandatory to be filled if different from above

Mandatory for Non Resident Applicant to specify overseas address

Contact Details

Separate email ID & mobile number declaration - I hereby declare that the below

Mobile number belongs to ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children

(Mandatory)

Tel (R) STD NUMBER Mobile No. NUMBER

Tel (O) STD NUMBER - EXT N Fax STD - NUMBER

Email ID belongs to ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children

E-mail ID

I hereby declare that the details furnished in this form are true and correct to the best of my/our knowledge and belief and I understand to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be held liable for it. My personal/KYC details to be shared with Central KYC Registry/FATCA/KRA. I hereby consent to receive information from Central KYC registry through SMS / email on the above Registered number / email address.

FOR OFFICE USE ONLY

Signature of the applicant

(Originals verified) and Self -Attested Document copies received

In-Person-Verification (IPV) details:

Name of the Person doing IPV

Signature of the Person doing IPV

Designation & Emp. code

Branch code

Name of the organisation: HDFC Securities Ltd.

Name and Signature of Authorised Signatory

Seal / Stamp of HDFC securities

S2

Place

Do not sign this form if it is BLANK. Please ensure all relevant sections and columns are completely filled to your satisfaction and then only sign the form

Other Details

*Gross annual income(₹) (Income range per annum)

Below Rs. 1 lac

Rs. 1 to 5 lac

Rs. 5 to 10 lac

Rs. 10 to 25 lac

Rs. 25 to 50 lac

More than Rs. 50 lac

OR

Net worth (₹)

as on date

D

D

M

M

Y

Y

Y

Y

Net worth should not be older than one year

Occupation details

(please tick any one below and give brief details)

Public Sector

Private Sector

Government Service

Employer Name

Business

Professional

Agriculturist

Retired

Student

Housewife

Designation

Others (please specify)

Brief Details

Please tick, if applicable

Politically Exposed Person (PEP)

Related to a Politically Exposed Person (PEP)

Are you a tax resident of any country other than India ?

No

Yes, then please fill below

City of Birth

Country of Birth

Address for Tax purpose (please tick)

Same as Mailing Address

Same as permanent Address

Country/(ies) of Tax Residency #

Tax Identification Number (TIN)%

Nationality / Citizenship

Identification Type (TIN or Other%, please specify)

1.

2.

3.

4.

5.

To also include USA , where the individual is a citizen /green card holder of USA

% In case Tax Identification Number is not available , kindly provide functional equivalent

FATCA Details

FATCA/ CRS Indicia observed (ticked)		Documentation required for Cure of FATCA/ CRS indicia
		<i>If customer does not agree to be Specified U.S. person/ reportable person status</i>
1	U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2	Residence/ mailing address in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
3	Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
4	Standing instructions to transfer funds to an account maintained in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)

Certification:

I/We have understood the information requirements of this Form as per the CBOI notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided/available in the bank/securities records will be used for CBOI reporting. Further, I/We hereby authorize HDFC Securities Limited to furnish the above information to HDFC Bank Limited for the purpose of compliance and reporting under CBOI Rules as applicable.

Details under FATCA/Foreign Tax Laws:

Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s). If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010. Please note that you may receive more than one request for information if you have multiple relationships with different members of the HDFC Group. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)
 * Government or agency thereof or a municipality

S3

Signature of the Applicant

2

